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# How well can we predict flares based on IRIS Mg II, Si IV, and CII spectra?

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by

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Hinode-15/IRIS-12

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Prague

19-23 September 2022

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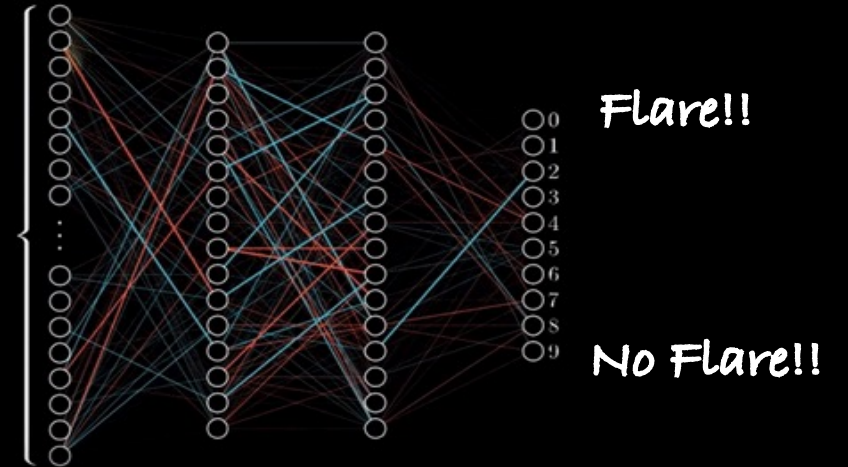
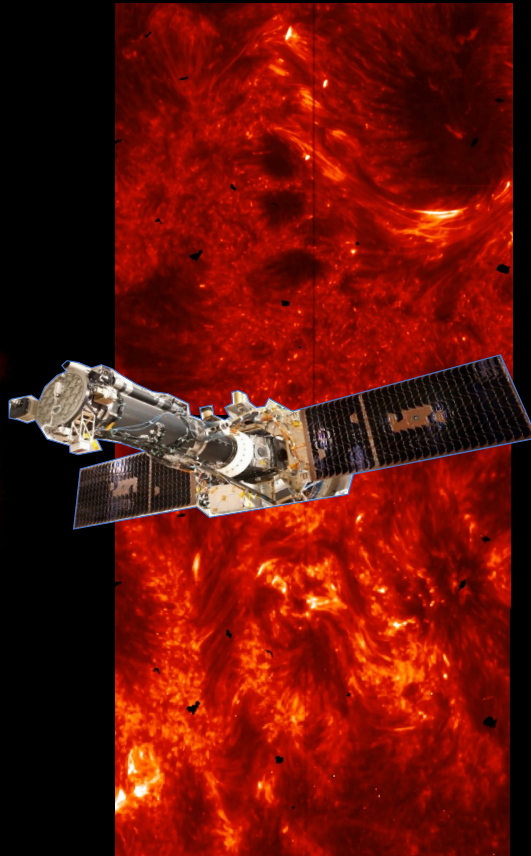
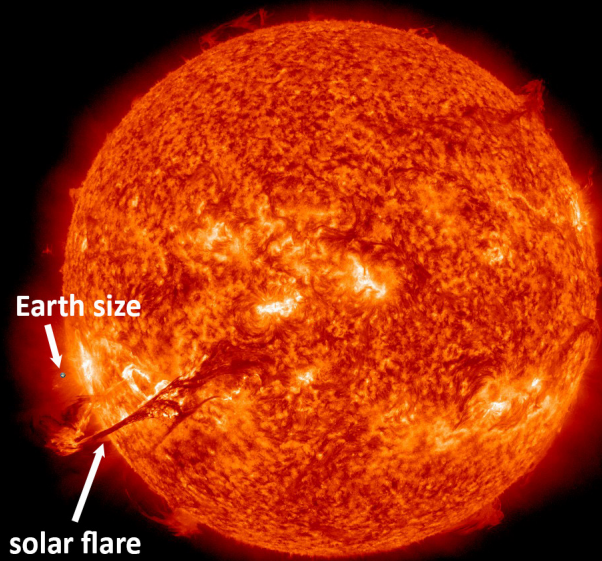
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# GOAL: Solar flare prediction with IRIS spectra



SDO/AIA 304 2012-08-31 19:45:20 UT

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## State of the art

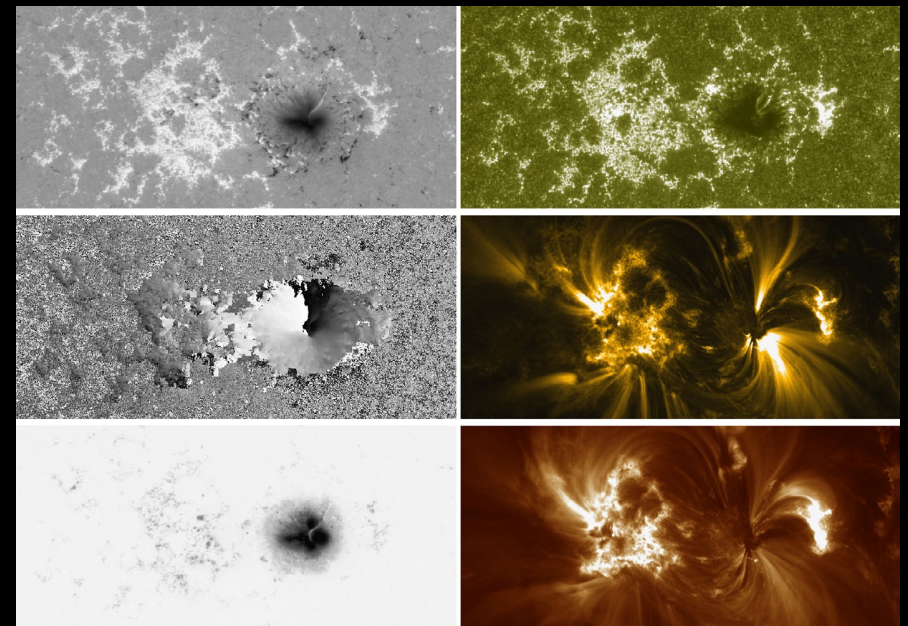
Prediction has previously been investigated with HMI and AIA

See for a summary: G. Barnes et al. 2016 or K. Leka 2019

HMI showed great potential for reliable solar flare prediction but only probing photosphere.

E. Jonas et al. 2018 probed AIA images to add more heights but has not improved predictions in general.

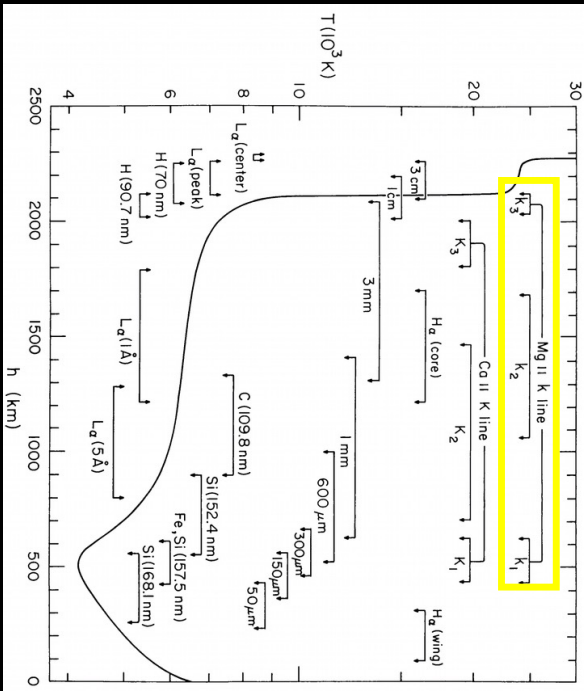
UV brightenings in AIA 1600 Å have been found to be a strong predictor for flares by Nishizuka et al. 2017



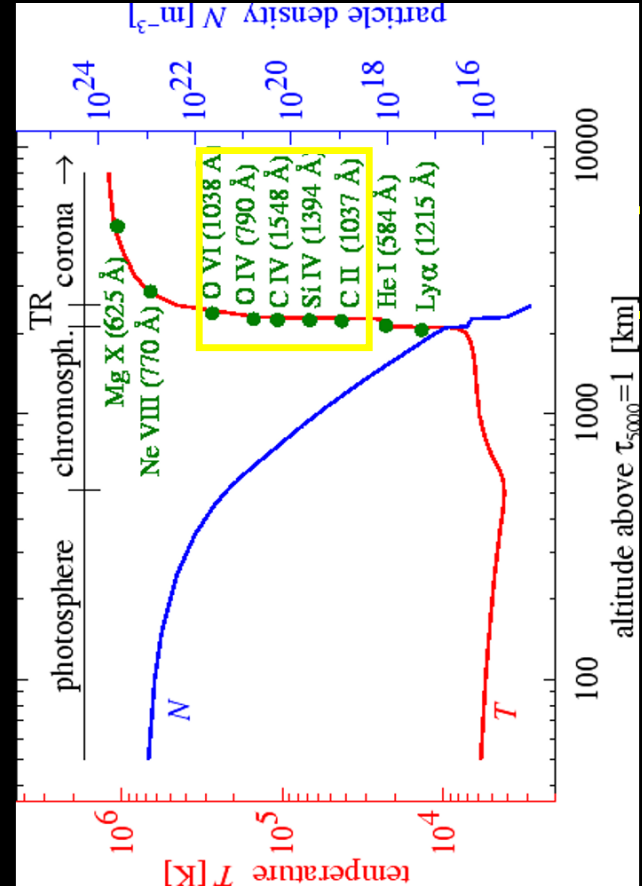
## Why spectra?

# Why spectra?

## Formation heights of IRIS spectral lines



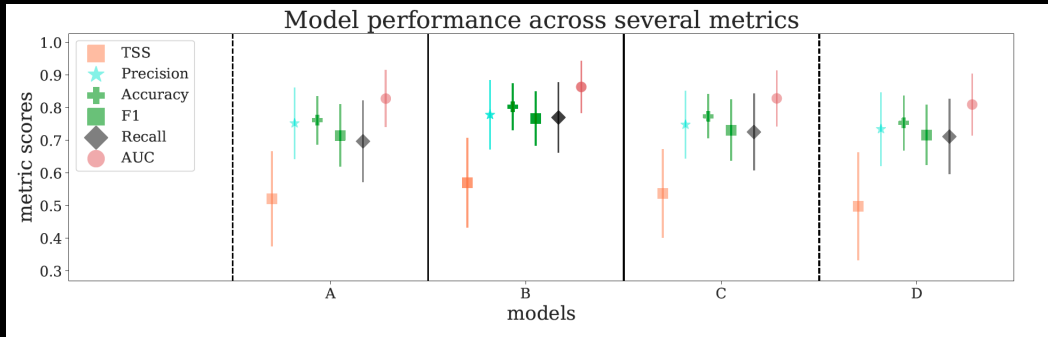
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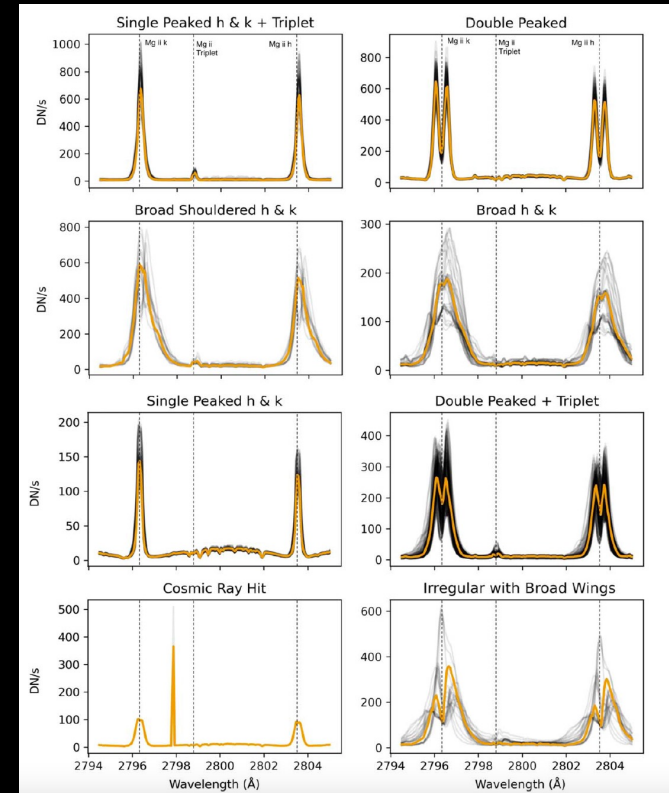
Spectral lines contain information about the solar atmosphere at their formation height:

- Flows (Doppler shifts)
- Temperature
- Turbulence
- Density/Electron density

# State of the art: Flare prediction with IRIS spectra



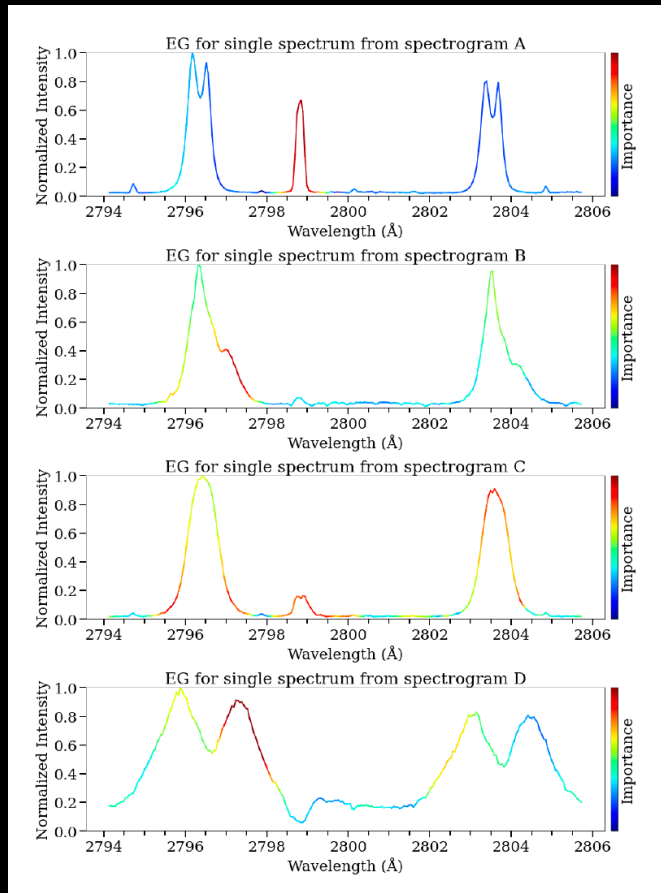
Proof of concept: Panos et al. 2020 showed prediction of flares possible on short timescales with Mg II h&k.



Common Preflare signatures:

Woods et al. 2021 showed single peak profiles and triplet emission are frequent before flares.

# Talk Panos Brandon: Explainable solar flare prediction using IRIS Mg II spectra



- Triplet emission
- Single peaked spectra
- Downflows
- Asymmetric and broad spectra, (complex flows and turbulence)

## NEW:

- Investigate Si IV 1403, C II additionally to Mg II h&k
- More observations, 60 min preflare phase
- All flares reaching GOES-class >C5
- Combination of different spectral lines

## Challenges:

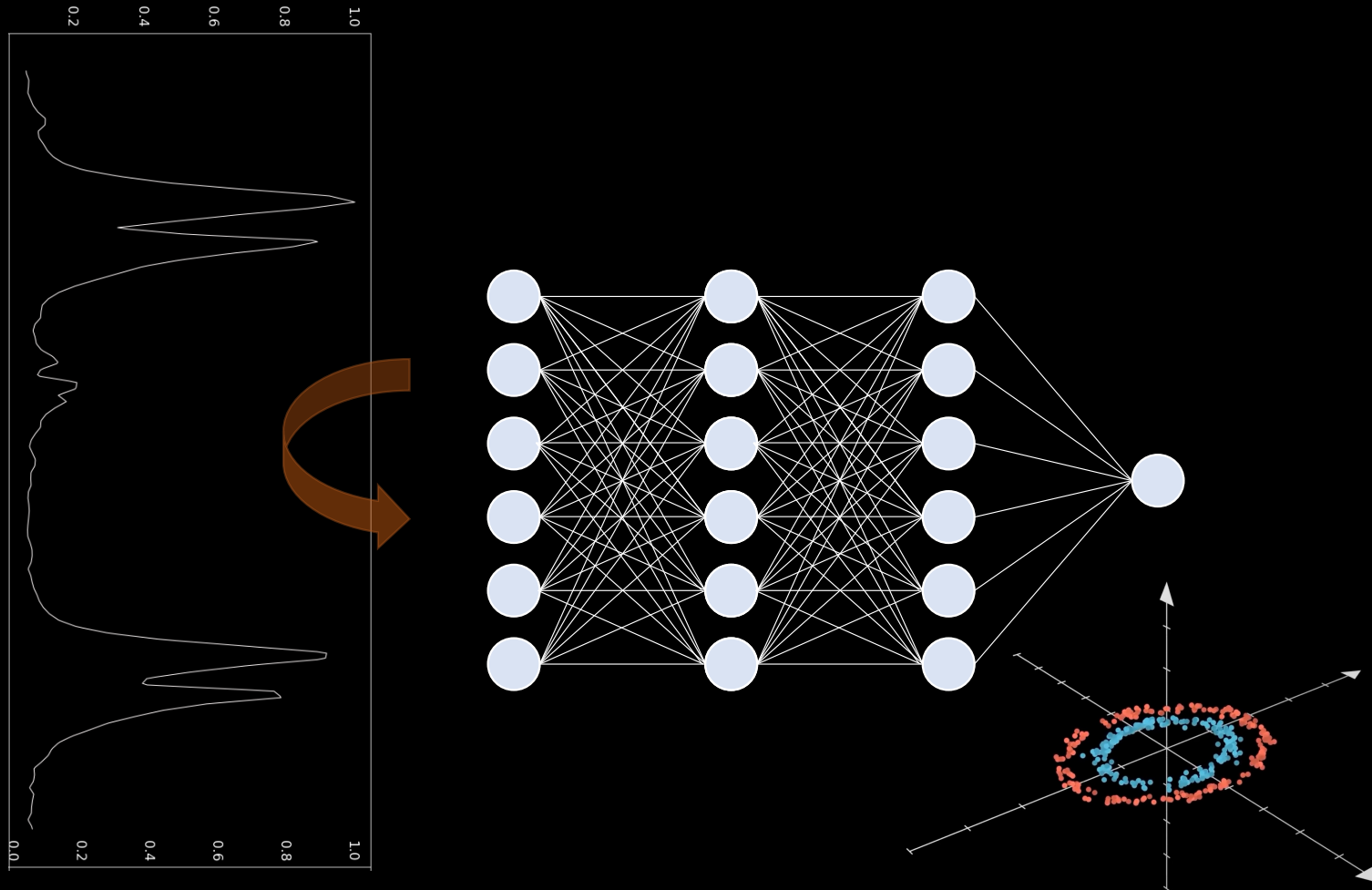
- Unique observations, unique observing properties
- The models see spectra, not complete observations

➔ Hard to generalize models

How well can we predict flares based on IRIS Mg II, Si IV, and C II spectra?

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## Neural Network (deep, fully connected)



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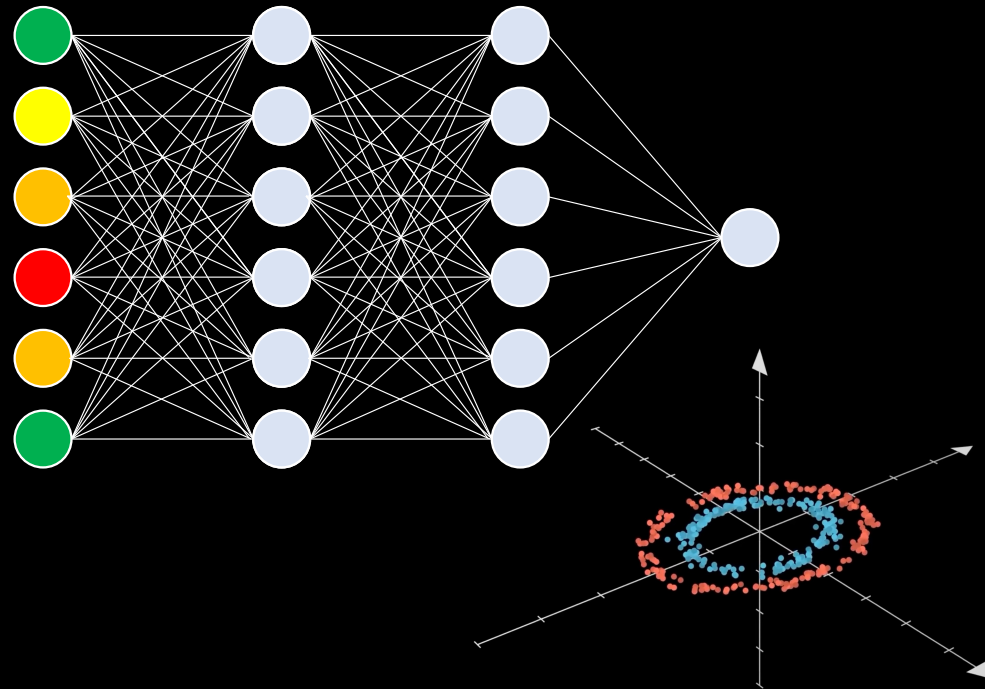
Sam Sartor: [youtube.com/watch?v=CfAL\\_cL3SGQ](https://www.youtube.com/watch?v=CfAL_cL3SGQ)



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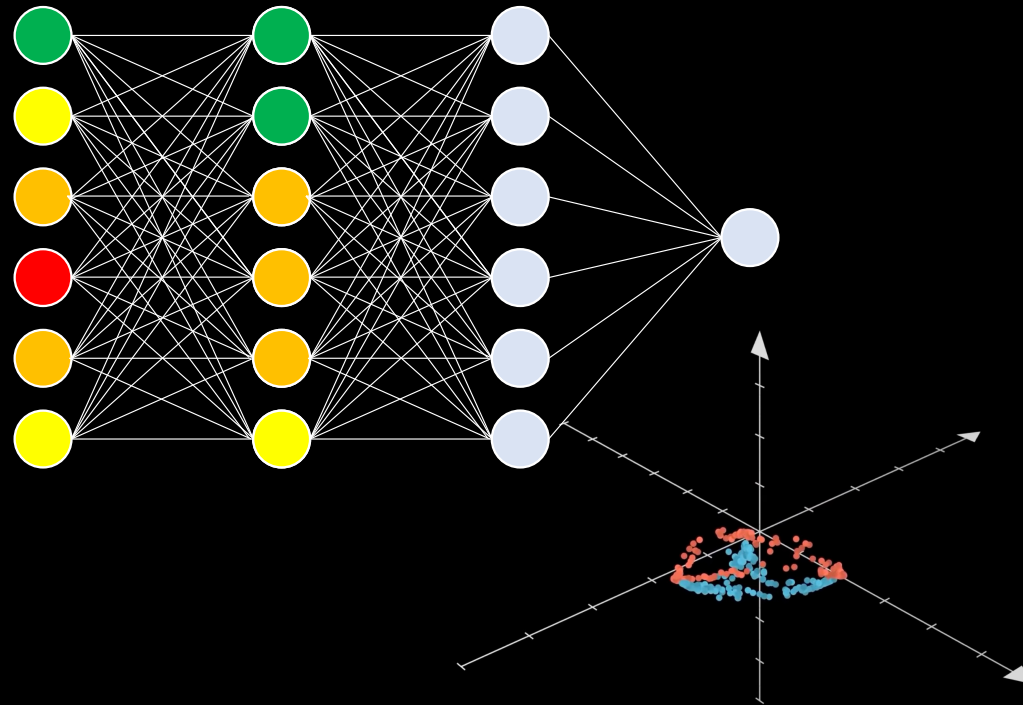
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*u<sup>b</sup>*

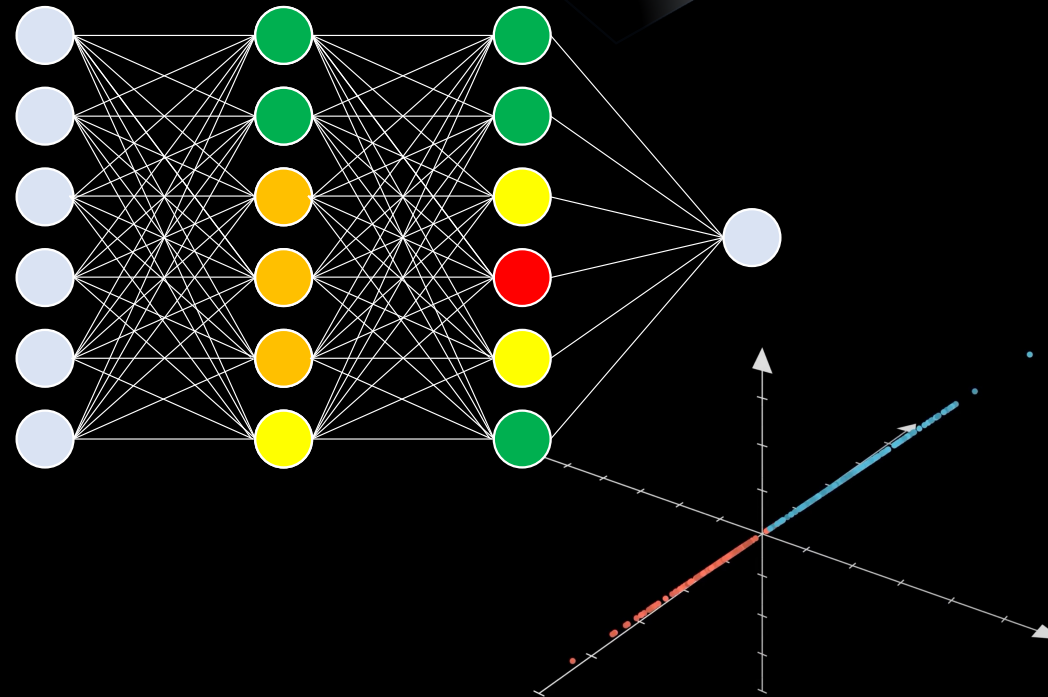
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## Neural Network (deep, fully connected)

Rectified  
linear unit:  
 $\text{ReLu}(x) =$   
 $x$  if  $x > 0$ , else  
 $0$



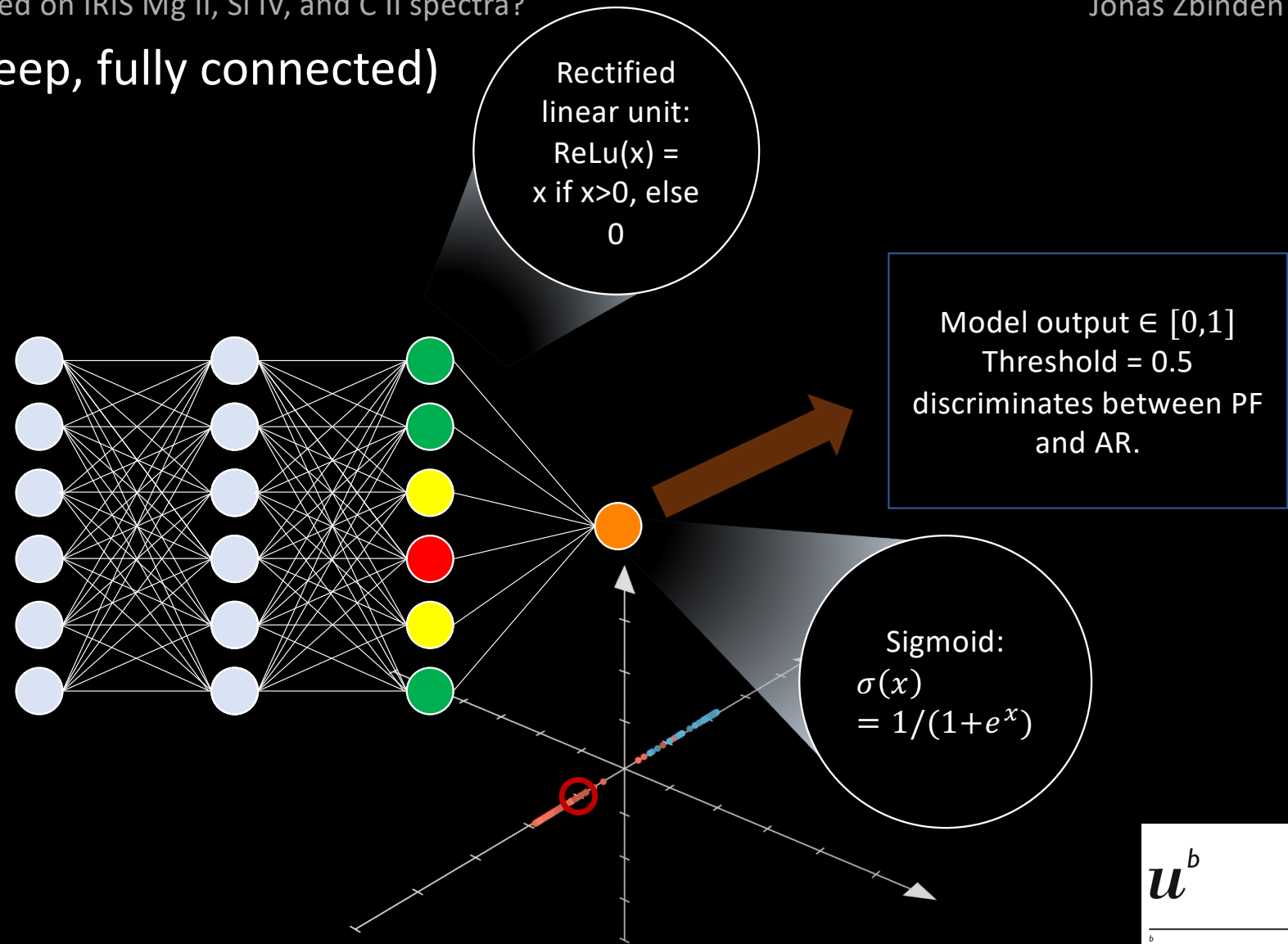
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## Neural Network (deep, fully connected)

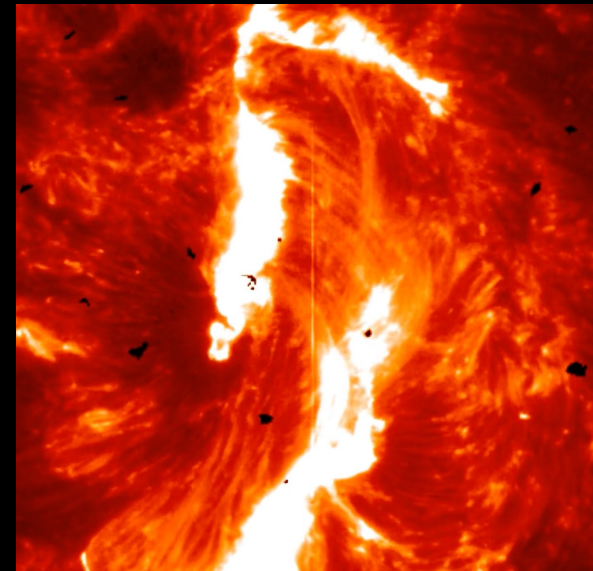
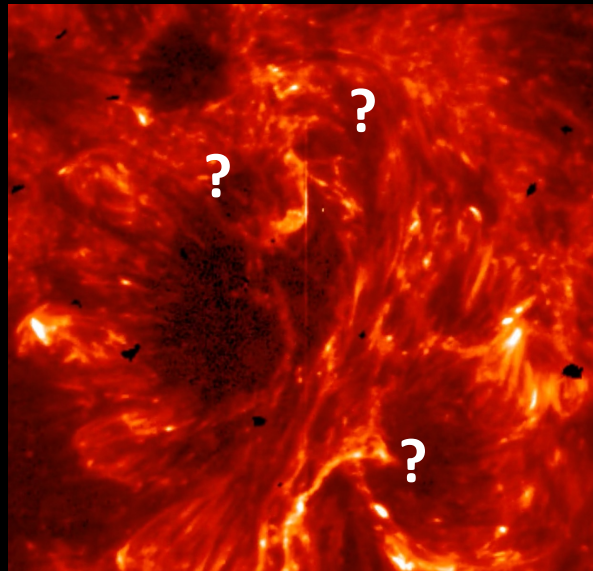


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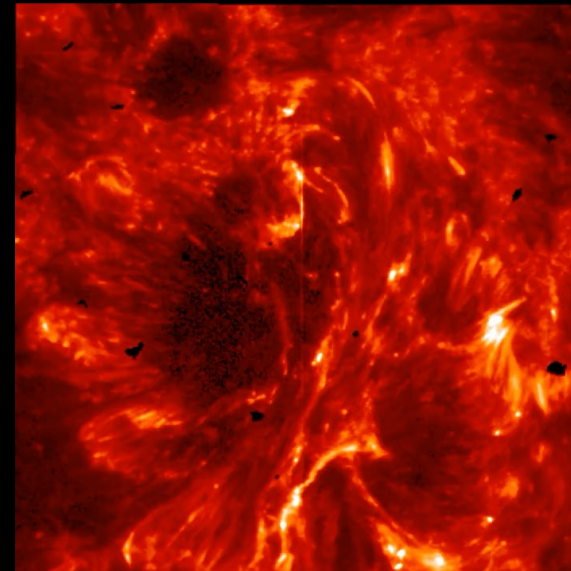
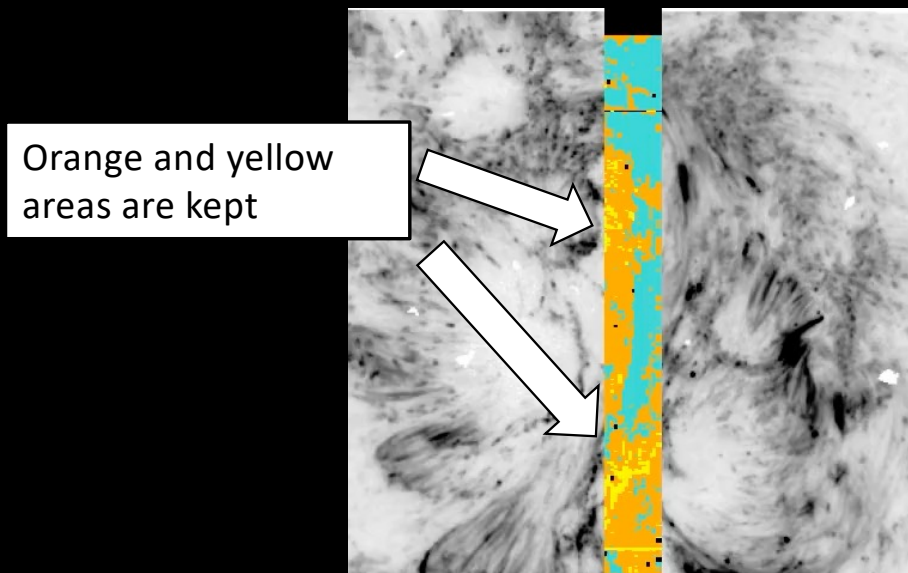
# Masking

Problem: Where will the flare happen?



# Masking

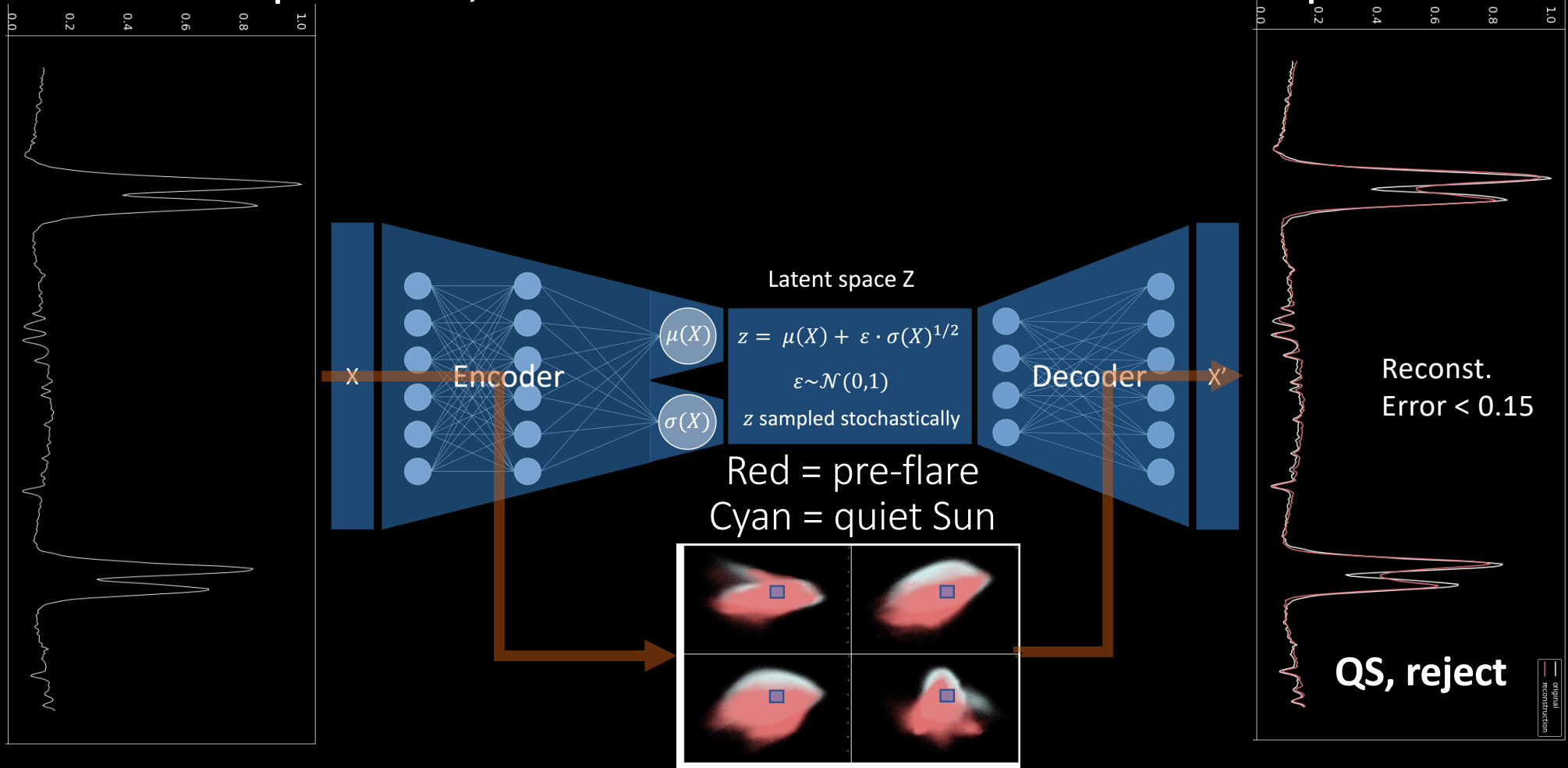
Find easy way to remove quiet Sun spectra from pre-flare and non-flaring active region observations



- Blue: Quiet Sun
- Orange: less quiet sun
- Yellow: Definitely not quiet sun

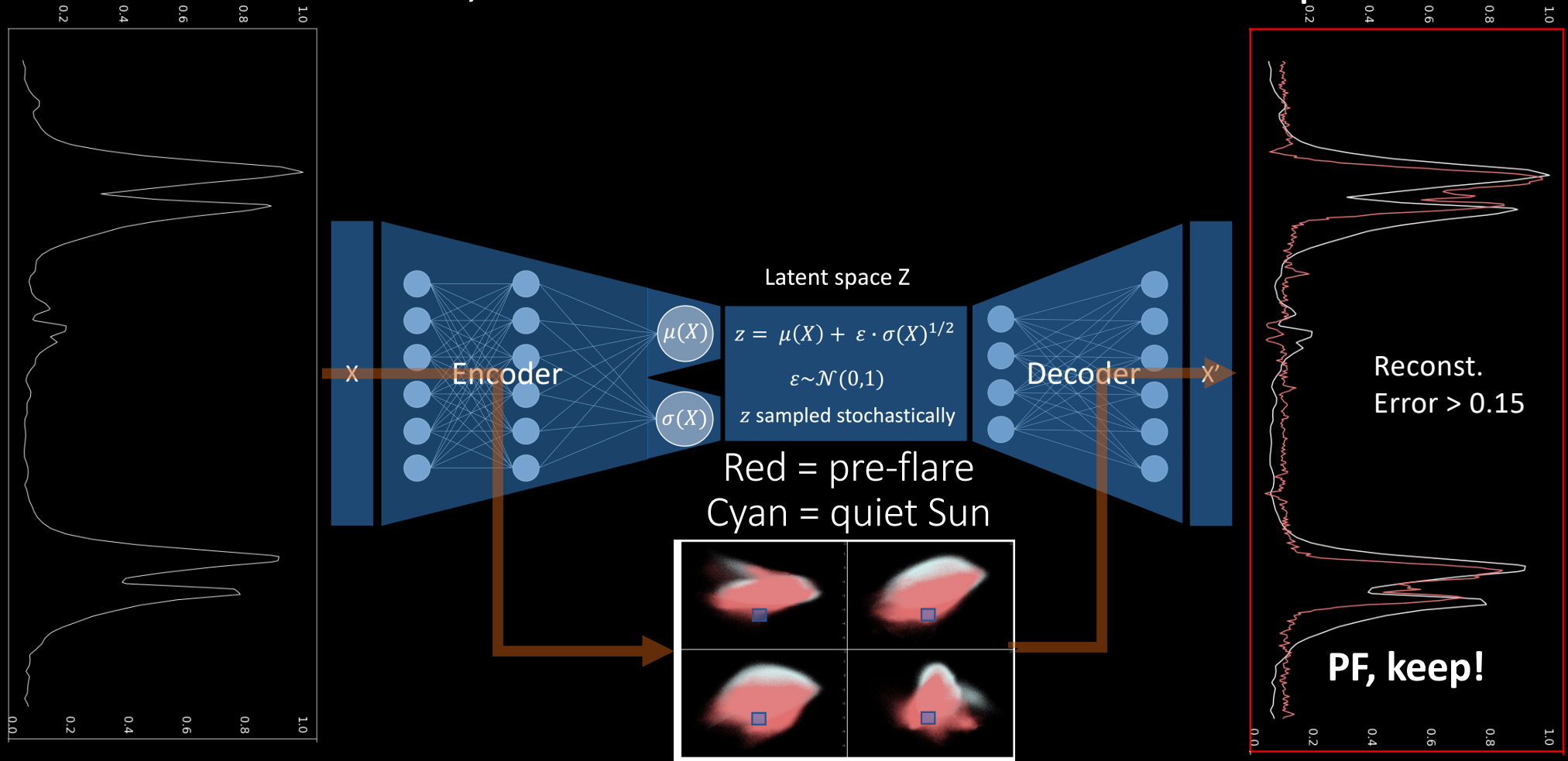
# Variational Autoencoder

$X$  = Spectrum,  $z$  = vector in 4-dimensional latent space



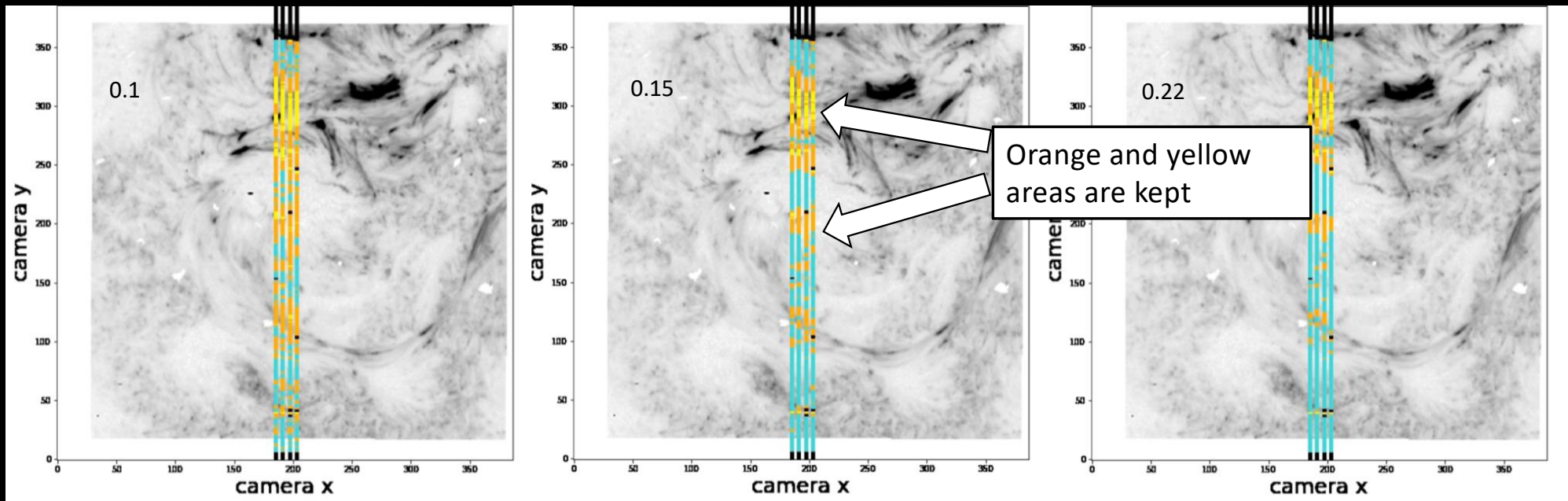
# Variational Autoencoder

$X = \text{Spectrum}$ ,  $z = \text{vector in 4-dimensional latent space}$





# Choose reconstruction error threshold



Example PF

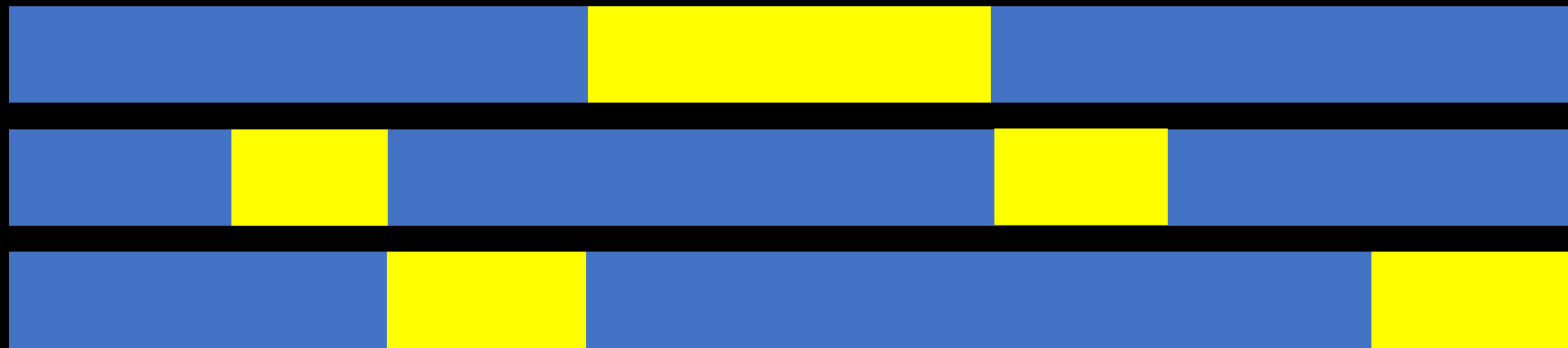
Experiments were conducted for thresholds = 0.15,  
= 0.22, and uncleaned datasets

**Blue:** rec error below threshold  
**Orange:** threshold < rec\_error < .4  
**Yellow:** .4 < rec\_error

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## Prediction – Testing models

K- fold crossvalidation, **Yellow**: Testing set, **Blue**: Training set



...

Create observationally split sets to estimate true performance:

- 5 folds with disjoint testing sets
  - 5 repetitions
- 25 models

## Prediction – Testing models

Spectra labeled according to the observation class ( PF:1 or AR:0 )

Model outputs are rounded to 0 and 1.

Comparing labels and outputs we get the **Confusion matrix**:

|                   |                   |  |
|-------------------|-------------------|--|
| True negative TN  | False positive FP | $\begin{vmatrix} TN & FP \\ FN & TP \end{vmatrix}$ |
| False negative FN | True positive TP  |  |

**Class imbalance invariant** score True Skill Statistics TSS:

$$TSS = \frac{TP}{FN+TP} - \frac{FP}{TN+FP} = \in [-1,1]$$

## Prediction – Testing models

$$\text{TSS} = \frac{TP}{FN+TP} - \frac{FP}{TN+FP} = \in [-1,1]$$

Score between -1 and 1

- 1: perfect predictions
- 0: random guessing
- -1: model is confused (opposite outputs to labels)
  
- On HMI data scores between roughly 0.5 and 0.75 have been achieved.
- Best score Panos et al. 2020: TSS ~ 0.6

## Data samples for training

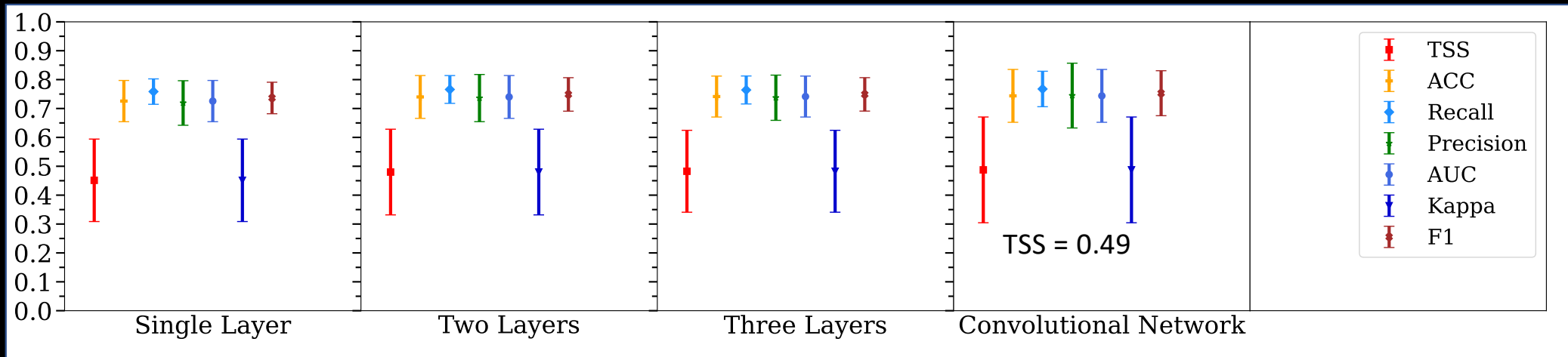
Total number of observations:

- Preflare PF : 50, 73 flares, ~25 minutes - 1 hour before flare
- Active region AR : 30, 50+ hours

Experiments: 4 different Architectures

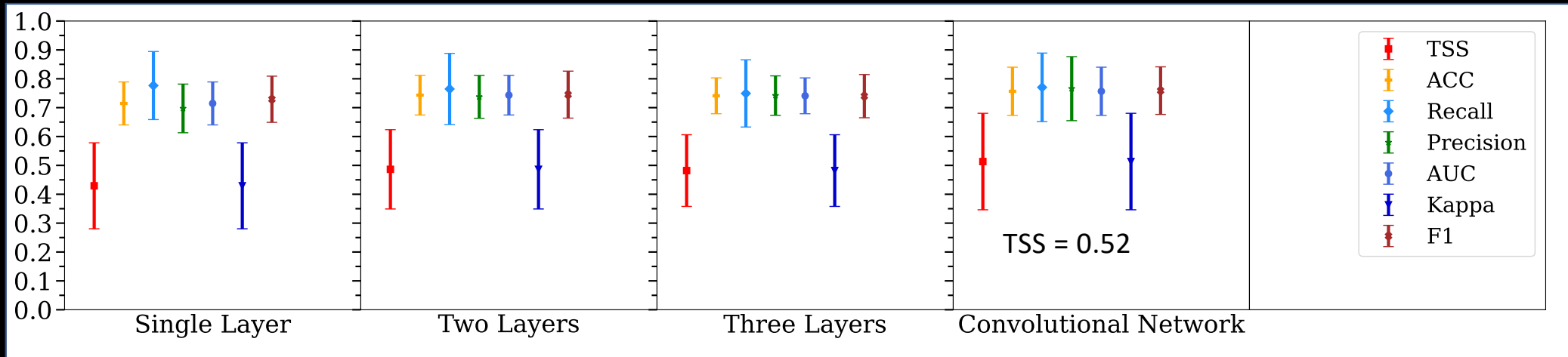
- Reduced set of observations: **Observations from previous studies for proof of concept**
  - PF: 19 obs, 32 flares
  - AR: 18 obs, ~40+ hours
- Full set of observations:
  - PF: 50 obs
  - AR: 30 obs

## Prediction Scores – Results Si IV 1403



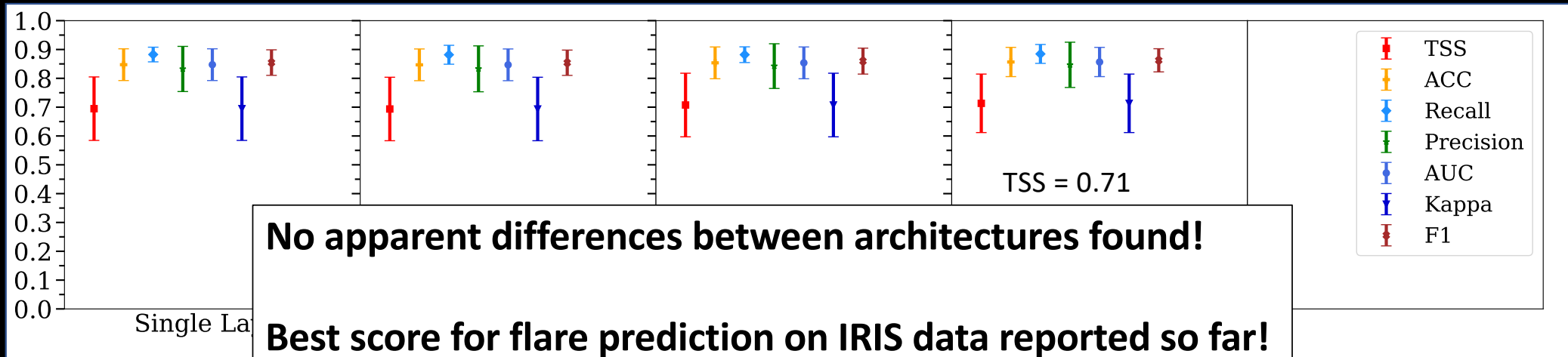
- Intensity mask, threshold =  $1E+4$  erg/(s cm<sup>2</sup> Hz rad)
- Best model Convnet **TSS = 0.49**
- Reduced set of observations

# Prediction Scores – Results C II



- VAE threshold = 0.15
- Best model Convnet **TSS = 0.52**
- Reduced set of observations

# Prediction Scores – Results Mg II h&k



- VAE threshold = 0.15
- Best model Convnet **TSS = 0.71**
- Reduced set of observations

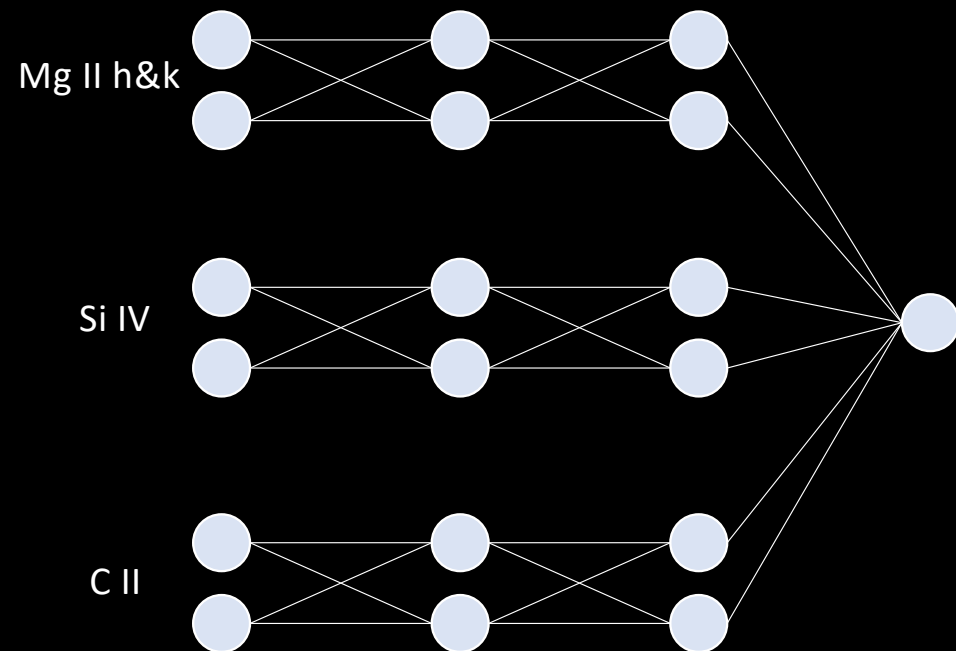


## Combined spectral lines

- Unmasked data from **Mg II h&k**, **Si IV** and **C II**.
- Only kept pixel with good data in each line

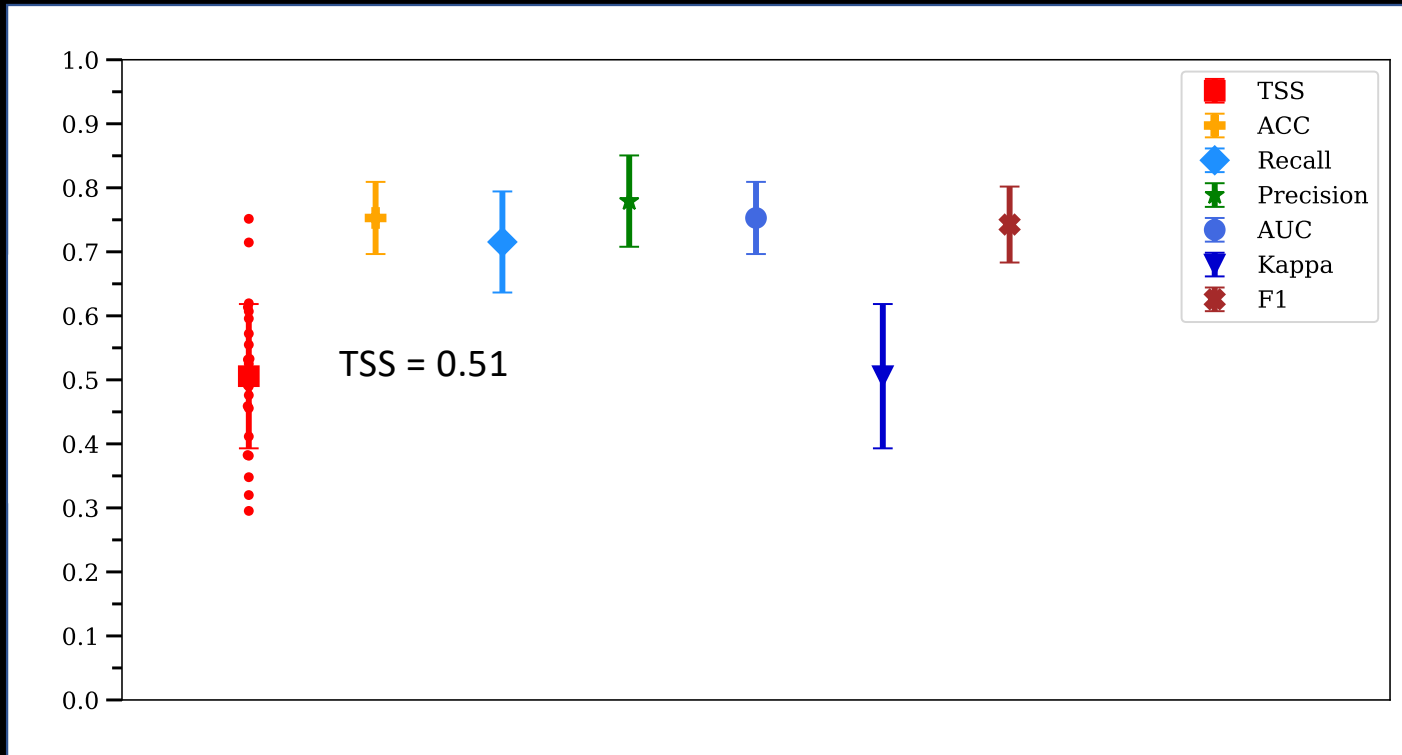
### Experiments: Full set of observations

- Mg II h&k on this data set
- Mg II h&k + Si IV
- Mg II h&k + C II
- Mg II h&k + Si IV + C II

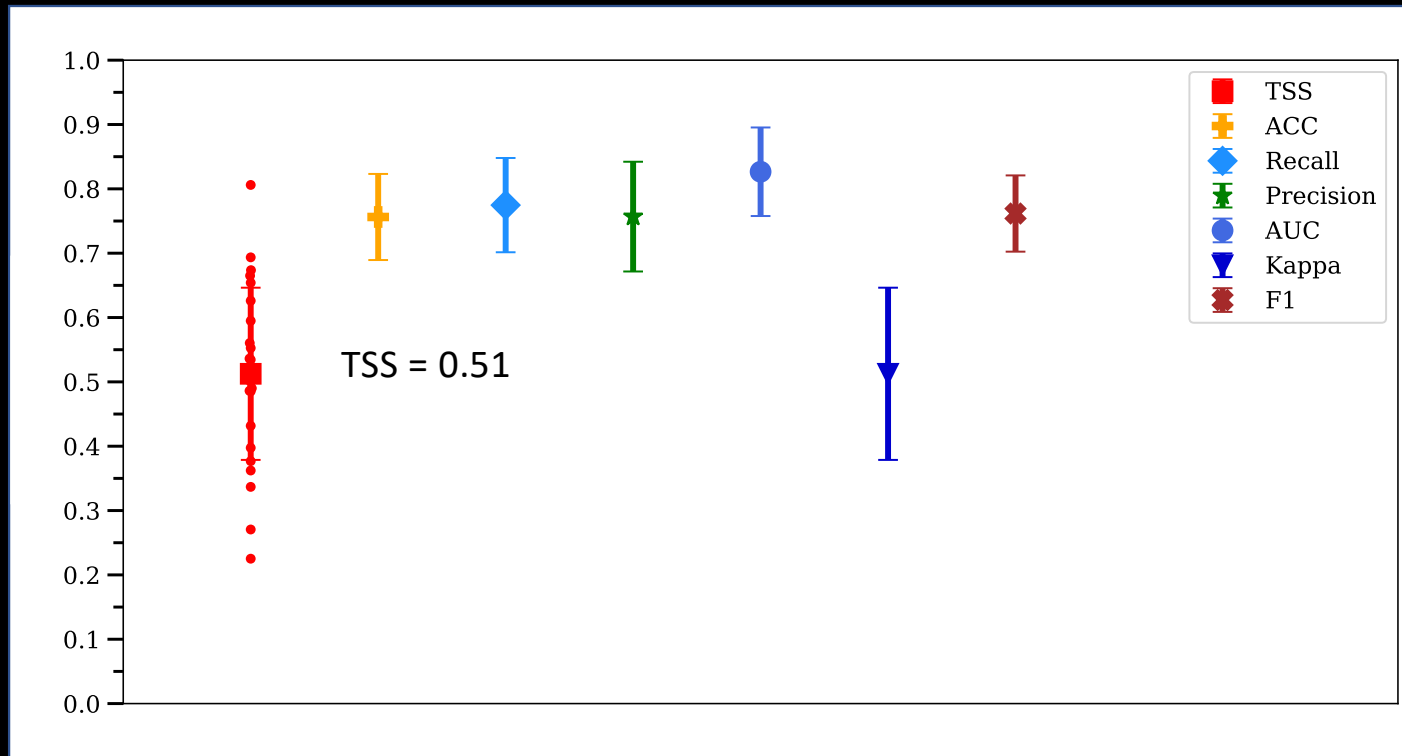


Conceptual model architecture

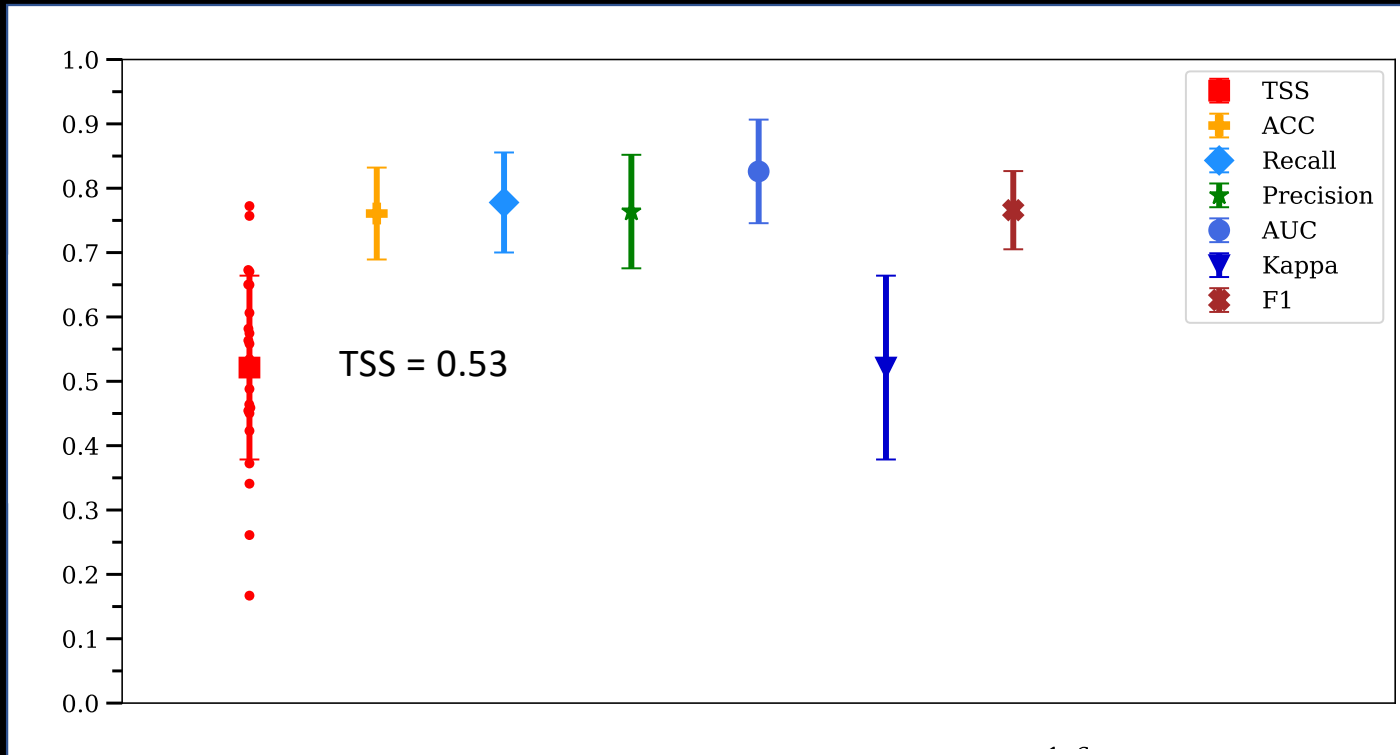
# Prediction Scores – Results Mg II h&k



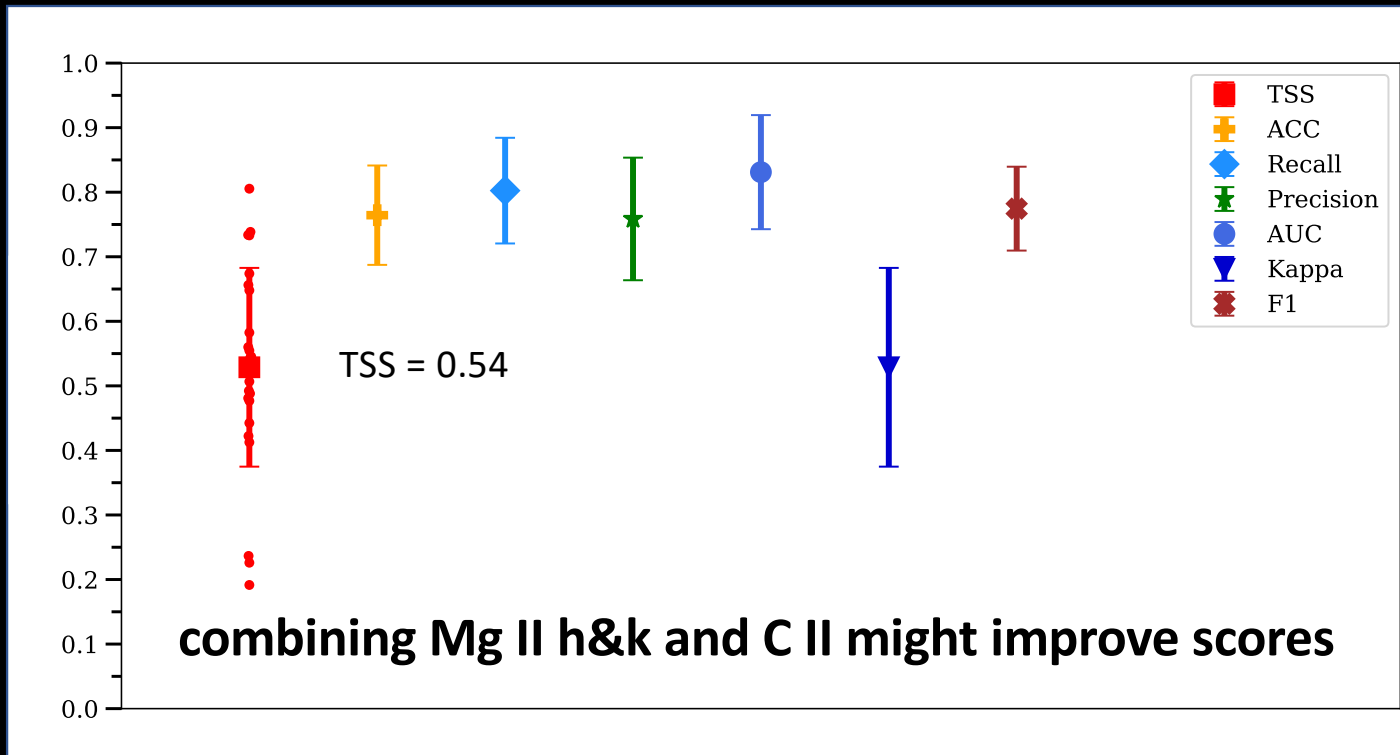
# Prediction Scores – Results Mg II h&k + Si IV



# Prediction Scores – Results Mg II h&k + C II



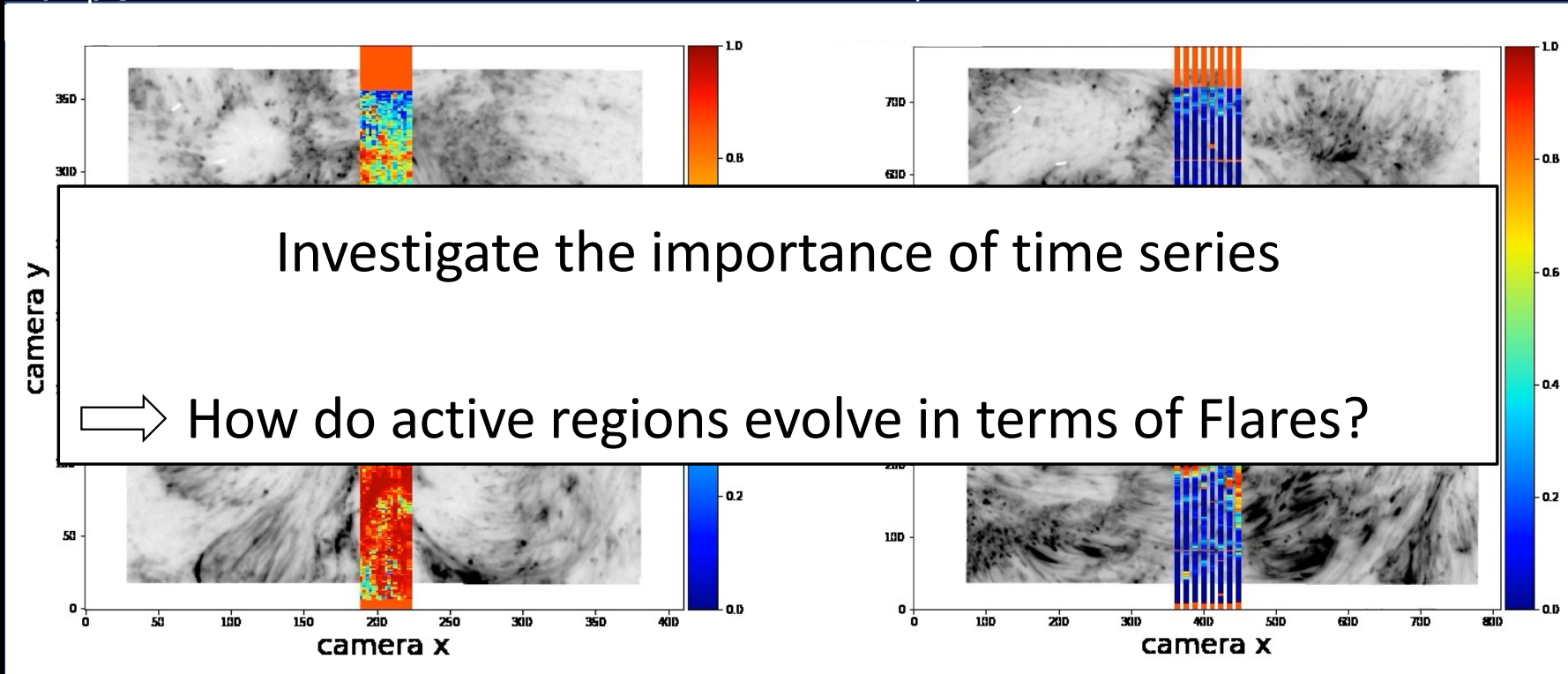
# Prediction Scores – Results All Three lines



# Future Prospects - Outlook

Example PF

Example AR



Red: High probability  
Blue: Low probability

## Conclusions

- PF observations are **best** distinguishable from AR observations based on spectra from **Mg II h&k** with a **TSS = 0.71 for 1 hour before flare onset!**
- **C II** and **Si IV** have some predictive information but less than **Mg II h&k**
- Each observation has **unique properties** that can affect the training and testing of the models
- **Combining** spectral lines can improve prediction scores but only marginally
- VAE (or other sophisticated masking methods) can lift some of the mixing of PF, AR and QS spectra